

FORM PTO-1390
(REV. 10-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

HTI-00002-US2

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

10/506852

INTERNATIONAL APPLICATION NO.

PCT/US2004/020257

INTERNATIONAL FILING DATE

June 24, 2004

PRIORITY DATE CLAIMED

June 24, 2003

TITLE OF INVENTION

MULTI-COLOR INJECTION MOLDED DOOR PANEL AND PROCESS

APPLICANT(S) FOR DO/EO/US

Gregory J. Balint et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☒ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☒ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Submission of Substitute Specification Letter; Express Mailing Certificate No. ER 575 695 441 US; Return Receipt Postcard; Courtesy Copies (Transmittal to US/RO, Fee Sheet, Request, Patent App, Drawings, Unsigned Declaration, General Power of Attorney, Statement of Authorized Signatory).

U.S. APPLICATION NO. 506852 To be assigned		INTERNATIONAL APPLICATION NO. PCT/US2004/020257		ATTORNEY'S DOCKET NUMBER HTI-00002-US2	
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21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. \$1080.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS PTO USE ONLY	
				\$ 540.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	29 - 20 =	9	x \$18.00	\$ 81.00	
Independent claims	6 - 3 =	3	x \$86.00	\$ 129.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 750.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$ 750.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$ 750.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$ 750.00	
				Amount to be refunded:	\$
				charged:	\$

a. ☒ A check in the amount of \$ 385.00 to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 501612 in the amount of \$ 365.00 to cover the above fees.
 A duplicate copy of this sheet is enclosed.

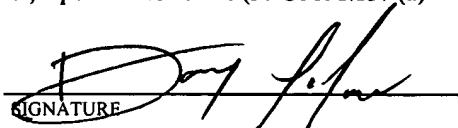
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
 overpayment to Deposit Account No. 501612. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
 information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a)
 or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Douglas P. LaLone
 Warn, Hoffmann, Miller & LaLone, P.C.
 P.O. Box 70098
 Rochester Hills, MI 48307


 SIGNATURE
 Douglas P. LaLone
 NAME
 37167
 REGISTRATION NUMBER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

107506852

In re the National Phase Application in the United States DT04 Rec'd PCT/PTO 03 SEP 2004
of International Patent Application No.: PCT/US2004/020257
Filed: June 24, 2004

Application No: To be assigned
Filing Date: To be assigned
Applicant: Gregory J. Balint, et al.
Group Art Unit: To be assigned
Examiner: To be assigned
Title: MULTI-COLOR INJECTION MOLDED DOOR PANEL AND
PROCESS
Attorney Docket: HTI-00001-US2

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION OF SUBSTITUTE SPECIFICATION

Sir:

Applicants herewith submit a substitute specification to replace the specification corresponding to the PCT international patent application. As such, the substitute specification now conforms to USPTO format requirements. The Applicants aver that no new matter has been introduced into the substitute specification.

Respectfully submitted,

WARN, HOFFMANN, MILLER & LALONE, P.C.
Attorneys for Applicant(s)

Dated: September 3, 2004

By: 

Douglas P. LaLone, Reg. No. 37167
Preston H. Smirnov, Reg. No. 35365

P.O. Box 70098
Rochester Hills, MI 48307
(248) 364-4300
DPL:PHS:cah

TRANSMITTAL LETTER TO THE UNITED STATES RECEIVING OFFICE

Date	24 June 2004
International Application No.	To be assigned
Attorney Docket No.	HTI-00001-PCT

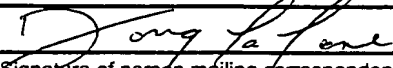
ER575695565US

I. Certification under 37 CFR 1.10 (If applicable)

ER 575 695 565 US
Express Mail mailing number

24 June 2004
Date of Deposit

I hereby certify that the application/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.


Signature of person mailing correspondence

Douglas P. LaLone
Typed or printed name of person mailing correspondence

II. ☐ New International Application

TITLE	MULTI-COLOR INJECTION MOLDED DOOR PANEL AND PROCESS
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Earliest priority date (Day/Month/Year)
24 June 2003

SCREENING DISCLOSURE INFORMATION: In order to assist in screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):

- A. ☐ The invention disclosed was not made in the United States.
- B. ☐ There is no prior U.S. application relating to this invention.
- C. ☒ The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or may not be claimed on form PCT/RO/101 (Request) and this listing does not constitute a claim for priority.)

application no.	60/480,966	filed on	24 June 2003
application no.		filed on	

- D. ☐ The present international application contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages and ☐ DOES NOT ALTER ☐ MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15.

III. ☐ A Response to an Invitation from the RO/US. The following document(s) is (are) enclosed:

- A. ☐ A Request for An Extension of Time to File a Response
- B. ☐ A Power of Attorney (General or Regular)
- C. ☐ Replacement pages:

pages		of the request (PCT/RO/101)	pages		of the figures
pages		of the description	pages		of the abstract
pages		of the claims			

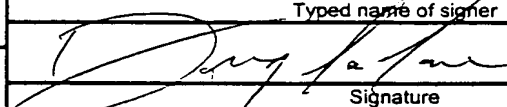
- D. ☐ Submission of Priority Documents

Priority document		Priority document	
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- E. ☐ Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex

IV. ☐ A Request for Rectification under PCT 91 ☐ A Petition ☐ A Sequence Listing Diskette

V. ☐ Other (please specify):

The person signing this form is the:	<input type="checkbox"/> Applicant	Douglas P. LaLone, Reg. No. 37,167
	<input checked="" type="checkbox"/> Attorney/Agent (Reg. No.)	Typed name of signer
	<input type="checkbox"/> Common Representative	 Signature

PCT

FEE CALCULATION SHEET

Annex to the Request

For receiving Office use only

International Application No.

Date stamp of the receiving Office

Applicant's or agent's
file reference

HTI-00001-PCT

Applicant

HALLMARK TECHNOLOGIES, INC.

CALCULATION OF PRESCRIBED FEES

1. TRANSMITTAL FEE 300.00 T

2. SEARCH FEE 1,000.00 S

International search to be carried out by ISA/US
(If two or more International Searching Authorities are competent to carry out the international search, indicate the name of the Authority which is chosen to carry out the international search.)

3. INTERNATIONAL FILING FEE

Where items (b) and/or (c) of Box No. IX apply, enter Sub-total number of sheets } 27
Where items (b) and (c) of Box No. IX do not apply, enter Total number of sheets }

i1 first 30 sheets 1,134.00 i1

i2 x 12.00 = i2
number of sheets fee per sheet
in excess of 30

i3 additional component (only if sequence listing and/or tables related thereto are filed in computer readable form under Section 801(a)(i), or both in that form and on paper, under Section 801(a)(ii)):

400 x = 0 i3
fee per sheet

Add amounts entered at i1, i2 and i3 and enter total at I 1,134.00 I

(Applicants from certain States are entitled to a reduction of 75% of the international filing fee. Where the applicant is (or all applicants are) so entitled, the total to be entered at I is 25% of the international filing fee.)

4. FEE FOR PRIORITY DOCUMENT (if applicable) 20.00 P

5. TOTAL FEES PAYABLE 2,454.00

Add amounts entered at T, S, I and P, and enter total in the TOTAL box

TOTAL

MODE OF PAYMENT

- ☐ authorization to charge deposit account (see below) ☐ postal money order ☐ cash ☐ coupons
☒ cheque ☐ bank draft ☐ revenue stamps ☐ other (specify):

AUTHORIZATION TO CHARGE (OR CREDIT) DEPOSIT ACCOUNT

(This mode of payment may not be available at all receiving Offices)

- ☐ Authorization to charge the total fees indicated above.
☒ (This check-box may be marked only if the conditions for deposit accounts of the receiving Office so permit) Authorization to charge any deficiency or credit any overpayment in the total fees indicated above.
☐ Authorization to charge the fee for priority document.

Receiving Office: RO/ US
Deposit Account No.: 50-1612
Date: 24 June 2004 (24.06.04)
Name: Douglas P. LaLone, Reg. No. 37167
Signature: Douglas P. LaLone

10/506852

LAW OFFICES

DT04 Rec'd PCT/PTO 03 SEP 2004

WARN, HOFFMANN, MILLER & LALONE, P.C.

Intellectual Property Matters
PATENTS, TRADEMARKS AND COPYRIGHTS

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September 3, 2004

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

EXPRESS MAILING CERTIFICATE

Applicant: Gregory J. Balint et al.

Serial No. (if any): To be assigned

For: MULTI-COLOR INJECTION MOLDED DOOR PANEL AND PROCESS

Docket: HTI-00002-US2

Attorney: Douglas P. LaLone

"Express Mail" Mailing Label Number ER 575 695 441 US

Date of Deposit September 3, 2004

I hereby certify and verify that the accompanying Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (in duplicate); along with the enclosures noted therein (including check in the amount of \$385.00) are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450.


Signature of Person Mailing Documents